

List colourings and preference orders

in honour of Haemers-Lazebnik-Woldar

Andrew Thomason (with Arès Méroneh)

8th August 2017

Open question

If $2 \mid n$ we can partition $[n] = \{1, 2, \dots, n\}$ into pairs each having the same sum $n + 1$.

Open question

If $2 \mid n$ we can partition $[n] = \{1, 2, \dots, n\}$ into pairs each having the same sum $n + 1$.

If $3 \mid n$ we can partition $[n] = \{1, 2, \dots, n\}$ into triples each having (roughly) the same sum s .

Note $(n/3)s \approx \sum_{i=1}^n i$ so $s \approx 3AM([n]) \approx 3n/2$.

Open question

If $2 \mid n$ we can partition $[n] = \{1, 2, \dots, n\}$ into pairs each having the same sum $n + 1$.

If $3 \mid n$ we can partition $[n] = \{1, 2, \dots, n\}$ into triples each having (roughly) the same sum s .

Note $(n/3)s \approx \sum_{i=1}^n i$ so $s \approx 3AM([n]) \approx 3n/2$.

Eg $n = 6k$, triples $\{1 + 3j, 3k + 2 + 3j, 6k - 6j\}$ and $\{2 + 3j, 3k + 1 + 3j, 6k - 6j - 3\}$, $0 \leq j < k$, each have sum $3n/2 + 3$ or $3n/2$.

Open question

If $2 \mid n$ we can partition $[n] = \{1, 2, \dots, n\}$ into pairs each having the same sum $n + 1$.

If $3 \mid n$ we can partition $[n] = \{1, 2, \dots, n\}$ into triples each having (roughly) the same sum s .

Note $(n/3)s \approx \sum_{i=1}^n i$ so $s \approx 3AM([n]) \approx 3n/2$.

Eg $n = 6k$, triples $\{1 + 3j, 3k + 2 + 3j, 6k - 6j\}$ and $\{2 + 3j, 3k + 1 + 3j, 6k - 6j - 3\}$, $0 \leq j < k$, each have sum $3n/2 + 3$ or $3n/2$.

Can we partition into triples of (roughly) the same *product* p ?

If so, $p^{n/3} \approx \prod_{i=1}^n i$ so $p \approx (GM([n]))^3 \approx (n/e)^3$.

Hence NO WE CAN'T,

because triple with 1 in it has product $\leq 1 \cdot (n - 1) \cdot n < n^2$.

Open question

If m is large enough that $mn^2 > (\text{GM}(\{m, m+1, \dots, n\}))^3$, can we partition $\{m, m+1, \dots, n\}$ into triples with similar products?

Conjecture

Let $\gamma = 0.116586\dots$ be the root of $(1/\gamma)^{(1+2\gamma)/3} = e^{1-\gamma}$.

Then the set $\{\lfloor \gamma n \rfloor, \lfloor \gamma n \rfloor + 1, \dots, n\}$ can be split into triples whose products differ by $o(n^3)$.

Vertex colouring

Let G be a graph or r -uniform hypergraph (edges are r -sets).

A *vertex colouring* of G is a map

$$c : V(G) \rightarrow \{\text{colours}\} \quad \text{such that} \quad \text{no edge is monochromatic}$$

An edge is monochromatic if every vertex in it has the same colour.
Here $\{\text{colours}\}$ is the palette of available colours.

The *chromatic number* of G is

$$\chi(G) = \min\{k : \text{there is a colouring } c : V(G) \rightarrow \{1, \dots, k\}\}$$

List colouring

Suppose now we assign a *list* of colours to each vertex, ie

$$L : V(G) \rightarrow \mathcal{P}(\{\text{colours}\})$$

We say G is *L -choosable* if there is a vertex colouring

$$c : V(G) \rightarrow \{\text{colours}\} \quad \text{with} \quad c(v) \in L(v) \text{ for all } v$$

List colouring

Suppose now we assign a *list* of colours to each vertex, ie

$$L : V(G) \rightarrow \mathcal{P}(\{\text{colours}\})$$

We say G is *L -choosable* if there is a vertex colouring

$$c : V(G) \rightarrow \{\text{colours}\} \quad \text{with} \quad c(v) \in L(v) \text{ for all } v$$

The *list chromatic number* of G is

$$\chi_l(G) = \min\{k : G \text{ is } L\text{-choosable whenever } \forall v \ |L(v)| \geq k\}$$

List colouring

Suppose now we assign a *list* of colours to each vertex, ie

$$L : V(G) \rightarrow \mathcal{P}(\{\text{colours}\})$$

We say G is *L -choosable* if there is a vertex colouring

$$c : V(G) \rightarrow \{\text{colours}\} \quad \text{with} \quad c(v) \in L(v) \text{ for all } v$$

The *list chromatic number* of G is

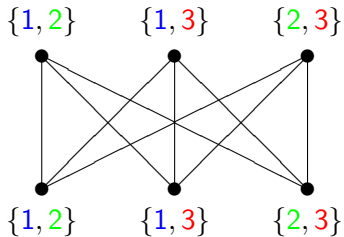
$$\chi_l(G) = \min\{k : G \text{ is } L\text{-choosable whenever } \forall v \quad |L(v)| \geq k\}$$

Clearly $\chi_l(G) \geq \chi(G)$ (make $L(v)$ same $\forall v$)

Introduced by Vizing (1976) and by Erdős, Rubin, Taylor (1979)

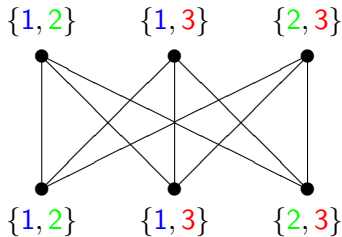
χ_I can be bigger than χ

$K_{3,3}$ not 2-choosable: $\chi = 2$, $\chi_I \geq 3$

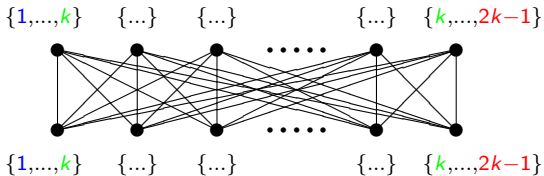


χ_I can be bigger than χ

$K_{3,3}$ not 2-choosable: $\chi = 2$, $\chi_I \geq 3$



More generally, $K_{m,m}$ is not k -choosable if $m \geq \binom{2k-1}{k}$



Graphs

Theorem (Erdős+Rubin+Taylor 79)

$$\chi_l(K_{d,d}) = (1 + o(1)) \log_2 d$$

Graphs

Theorem (Erdős+Rubin+Taylor 79)

$$\chi_I(K_{d,d}) = (1 + o(1)) \log_2 d$$

Theorem (Alon+Krivelevich 98)

$$\text{whp} \quad \chi_I(G(n, n, p)) = (1 + o(1)) \log_2 d$$

where $G(n, n, p)$ is random bipartite, $d = np$, $d \rightarrow \infty$

Graphs

Theorem (Erdős+Rubin+Taylor 79)

$$\chi_I(K_{d,d}) = (1 + o(1)) \log_2 d$$

Theorem (Alon+Krivelevich 98)

$$\text{whp} \quad \chi_I(G(n, n, p)) = (1 + o(1)) \log_2 d$$

where $G(n, n, p)$ is random bipartite, $d = np$, $d \rightarrow \infty$

Theorem (Alon 00)

For *all graphs* G of average degree d , $\chi_I(G) \geq (\frac{1}{2} + o(1)) \log_2 d$

Sapozhenko says it's easy

Theorem (Alon 00)

For *all graphs* G of average degree d , $\chi_l(G) \geq (\frac{1}{2} + o(1)) \log_2 d$

To prove Alon's theorem, we need lists L of size about $(1/2) \log_2 d$ so G is not L -chooseable. Best choice of L seems to be random.

But how do we show G is not L -chooseable?

Sapozhenko says it's easy

Theorem (Alon 00)

For *all graphs* G of average degree d , $\chi_I(G) \geq (\frac{1}{2} + o(1)) \log_2 d$

To prove Alon's theorem, we need lists L of size about $(1/2) \log_2 d$ so G is not L -choosable. Best choice of L seems to be random.

But how do we show G is not L -choosable?

Theorem (Sapozhenko '90s)

Let G be a d -regular graph with n vertices. Then there is a collection \mathcal{C} of vertex subsets, called **containers**, such that

- for every independent I there is a $C \in \mathcal{C}$ with $I \subset C$
- $|C| \leq (1/2 + \epsilon)n$ for all $C \in \mathcal{C}$
- $|\mathcal{C}| \leq 2^{cn/d}$ where $c = c(\epsilon)$

Simple hypergraphs

Simple or *linear* hypergraph: $|e \cap f| \leq 1$ for all distinct edges e, f

A *Steiner triple system* is a simple regular 3-uniform hypergraph

A *Latin square* graph is a simple d -regular subgraph of $K_{d,d,d}^{(3)}$

Simple hypergraphs

Simple or *linear* hypergraph: $|e \cap f| \leq 1$ for all distinct edges e, f

A *Steiner triple system* is a simple regular 3-uniform hypergraph

A *Latin square* graph is a simple d -regular subgraph of $K_{d,d,d}^{(3)}$

Lower bounds on χ_I in certain cases (Haxell+Pei '09 STS's,
Haxell+Verstraëte '10, Alon+Kostochka '11)

Simple hypergraphs

Simple or *linear* hypergraph: $|e \cap f| \leq 1$ for all distinct edges e, f

A *Steiner triple system* is a simple regular 3-uniform hypergraph

A *Latin square* graph is a simple d -regular subgraph of $K_{d,d,d}^{(3)}$

Lower bounds on χ_I in certain cases (Haxell+Pei '09 STS's, Haxell+Verstraëte '10, Alon+Kostochka '11)

Theorem (Saxton+T 12,14 {c.f. Balogh+Morris+Samotij})

Let G be a simple d -regular r -uniform hypergraph with n vertices. Then there is a collection \mathcal{C} of vertex subsets called *containers*, such that

- for every independent I there is a $C \in \mathcal{C}$ with $I \subset C$
- for every $C \in \mathcal{C}$, $|C| \leq (1 - c)|V|$ where $c = 1/4r^2$
- $|\mathcal{C}| \leq 2^{\tau n}$ where $\tau = d^{-1/(2r-1)}$

A lower bound on χ_I

Theorem (Saxton+T 12,14)

Let G be simple (ie linear) r -uniform d -regular. Then

$$\chi_I(G) \geq \left(\frac{1}{r-1} + o(1)\right) \log_r d$$

(bounds too for non-regular, non-simple)

How good is this bound? Are there tight examples?

A lower bound on χ_I

Theorem (Saxton+T 12,14)

Let G be simple (ie linear) r -uniform d -regular. Then

$$\chi_I(G) \geq \left(\frac{1}{r-1} + o(1)\right) \log_r d$$

(bounds too for non-regular, non-simple)

How good is this bound? Are there tight examples?

For $r = 2$ (graphs) then $\chi_I(G) \geq (1 + o(1)) \log_2 d$ which is tight

A lower bound on χ_I

Theorem (Saxton+T 12,14)

Let G be simple (ie linear) r -uniform d -regular. Then

$$\chi_I(G) \geq \left(\frac{1}{r-1} + o(1)\right) \log_r d$$

(bounds too for non-regular, non-simple)

How good is this bound? Are there tight examples?

For $r = 2$ (graphs) then $\chi_I(G) \geq (1 + o(1)) \log_2 d$ which is tight

For $r = 3$ then $\chi_I(G) \geq (1/2 + o(1)) \log_3 d$

for latin square $\chi_I(G) \leq \chi_I(K_{d,d,d}^{(3)}) \leq (1 + o(1)) \log_3 d$

A lower bound on χ_I

Theorem (Saxton+T 12,14)

Let G be simple (ie linear) r -uniform d -regular. Then

$$\chi_I(G) \geq \left(\frac{1}{r-1} + o(1)\right) \log_r d$$

(bounds too for non-regular, non-simple)

How good is this bound? Are there tight examples?

For $r = 2$ (graphs) then $\chi_I(G) \geq (1 + o(1)) \log_2 d$ which is tight

For $r = 3$ then $\chi_I(G) \geq (1/2 + o(1)) \log_3 d$

for latin square $\chi_I(G) \leq \chi_I(K_{d,d,d}^{(3)}) \leq (1 + o(1)) \log_3 d$

So let's try to colour simple regular 3-partite 3-uniform hypergraphs

Preference orders

A *preference order* on $[m]$ is a collection of r total orders on $[m]$

Preference orders

A *preference order* on $[m]$ is a collection of r total orders on $[m]$

Example: $r = 2$ $m = 2k$

$2k$	1
$2k - 1$	2
\vdots	\vdots
$k + 1$	$k - 1$
k	k
$k - 1$	$k + 1$
\vdots	\vdots
2	$2k - 1$
1	$2k$

Preference orders

A *preference order* on $[m]$ is a collection of r total orders on $[m]$

Example: $r = 3$ $m = 3k$

$3k$	k	$2k$
$3k - 1$	$k - 1$	$2k - 1$
\vdots	\vdots	\vdots
$2k + 1$	1	$k + 1$
$2k$	$3k$	k
$2k - 1$	$3k - 1$	$k - 1$
\vdots	\vdots	\vdots
$k + 1$	$2k + 1$	1
1	$k + 1$	$2k + 1$
2	$k + 2$	$2k + 2$
\vdots	\vdots	\vdots
k	$2k$	$3k$

A colouring algorithm for r -partite hypergraphs

Let G be r -partite r -uniform, average degree d

$$V(G) = V_1 \cup V_2 \cup \dots \cup V_r, \quad |V_i| = n$$

Let $L : V(G) \rightarrow \mathcal{P}(\{\text{colours}\})$, with list sizes ℓ

ALGORITHM:

Randomly number the colours 1 to m (the size of the palette)

Choose an “optimal” preference order on $[m]$

If $v \in V_i$ let $c(v)$ be colour in $L(v)$ most preferred by i th order

Add a degenerate twist for luck

A colouring algorithm for r -partite hypergraphs

Let G be r -partite r -uniform, average degree d

$$V(G) = V_1 \cup V_2 \cup \dots \cup V_r, \quad |V_i| = n$$

Let $L : V(G) \rightarrow \mathcal{P}(\{\text{colours}\})$, with list sizes ℓ

ALGORITHM:

Randomly number the colours 1 to m (the size of the palette)

Choose an “optimal” preference order on $[m]$

If $v \in V_i$ let $c(v)$ be colour in $L(v)$ most preferred by i th order

Add a degenerate twist for luck

$\Pr(v \in V_i \text{ is green}) = x_i^\ell$, $x_i =$ “height” of green in i th order

so if $X =$ green vertices then $\mathbb{E}|X_i| = x_i^\ell n$

Preference orders - values

We can assign a *value* to a preference order, namely

$$\max_{j \in [m]} \text{product of lowest } r - 1 \text{ heights of } j$$

An “optimal” preference order above is one with minimal value

Preference orders - values

We can assign a *value* to a preference order, namely

$$\max_{j \in [m]} \text{product of lowest } r - 1 \text{ heights of } j$$

An “optimal” preference order above is one with minimal value

Define $f(r)$ to be the minimum value of all pref orders (as $m \rightarrow \infty$)

$$f(2) = 1/2 \quad f(3) = 1/9 \quad f(4) = 0.0262 \dots \quad f(r) = ?$$

Preference orders - values

We can assign a *value* to a preference order, namely

$$\max_{j \in [m]} \text{product of lowest } r - 1 \text{ heights of } j$$

An “optimal” preference order above is one with minimal value

Define $f(r)$ to be the minimum value of all pref orders (as $m \rightarrow \infty$)

$$f(2) = 1/2 \quad f(3) = 1/9 \quad f(4) = 0.0262 \dots \quad f(r) = ?$$

Why is this value relevant?

r -partite hypergraphs

Let G be r -partite r -uniform, average degree d

$$V(G) = V_1 \cup V_2 \cup \dots \cup V_r, \quad |V_i| = n$$

Given $X \subset V(G)$ write $X_i = X \cap V_i$ and let $|X_j| = \max_i |X_i|$

r -partite hypergraphs

Let G be r -partite r -uniform, average degree d

$$V(G) = V_1 \cup V_2 \cup \dots \cup V_r, \quad |V_i| = n$$

Given $X \subset V(G)$ write $X_i = X \cap V_i$ and let $|X_j| = \max_i |X_i|$

(D_r) if $\prod_{i \neq j} |X_i| \leq n^{r-1}/d$ then $G[X]$ is $\frac{4 \log d}{\log \log d}$ -degenerate

(N_r) if $\prod_{i \neq j} |X_i| \geq n^{r-1}/d \times \log^2 d$ then X is not independent.

Almost all G satisfy both (D_r) and (N_r) .

r -partite hypergraphs

Let G be r -partite r -uniform, average degree d

$$V(G) = V_1 \cup V_2 \cup \dots \cup V_r, \quad |V_i| = n$$

Given $X \subset V(G)$ write $X_i = X \cap V_i$ and let $|X_j| = \max_i |X_i|$

(D_r) if $\prod_{i \neq j} |X_i| \leq n^{r-1}/d$ then $G[X]$ is $\frac{4 \log d}{\log \log d}$ -degenerate

(N_r) if $\prod_{i \neq j} |X_i| \geq n^{r-1}/d \times \log^2 d$ then X is not independent.

Almost all G satisfy both (D_r) and (N_r) .

Theorem (Mérroueh+T)

If r -partite G satisfies (D_r) and (N_r) then

$$\chi_I(G) \sim g(r) \log_r d$$

where $g(r) = -1/\log_r f(r)$

A lower bound for χ_l using preference orders

Let G be r -partite r -uniform, average degree d

Choose random lists $L : V(G) \rightarrow \mathcal{P}(\{\text{colours}\})$ each of size ℓ

Suppose G has a colouring $c : V(G) \rightarrow \{\text{colours}\}$

Define a preference order on $\{\text{colours}\}$, the r orders being the order of popularity of $c(v)$ in V_1, V_2, \dots, V_r

This preference order has value at least $f(r) \dots$

\dots some colour (green, say) has positions x_i with $\prod_{i \neq i_x} x_i \geq f(r)$

\dots information about the size of the green independent set

If G has (l_r) this gives a contradiction when $\ell < g(r) \log_r d$

How the numbers stack up

(Saxton+T 12,14) \forall simple d -regular G , $\chi_I(G) \gtrsim \frac{1}{(r-1)} \log_r d$

Méroueh+T \forall r -partite G , (D_r) & $(N_r) \Rightarrow \chi_I(G) \sim g(r) \log_r d$

How the numbers stack up

(Saxton+T 12,14) \forall simple d -regular G , $\chi_I(G) \gtrsim \frac{1}{(r-1)} \log_r d$

Méroueh+T \forall r -partite G , (D_r) & $(N_r) \Rightarrow \chi_I(G) \sim g(r) \log_r d$

$$f(2) = 1/2 \quad f(3) = 1/9 \quad f(4) = 0.0262\dots$$

How the numbers stack up

(Saxton+T 12,14) \forall simple d -regular G , $\chi_I(G) \gtrsim \frac{1}{(r-1)} \log_r d$

Méroueh+T \forall r -partite G , (D_r) & $(N_r) \Rightarrow \chi_I(G) \sim g(r) \log_r d$

$$f(2) = 1/2 \quad f(3) = 1/9 \quad f(4) = 0.0262\dots$$

Recall $g(r) = -1/\log_r f(r)$

How the numbers stack up

(Saxton+T 12,14) \forall simple d -regular G , $\chi_I(G) \gtrsim \frac{1}{(r-1)} \log_r d$

Méroueh+T \forall r -partite G , (D_r) & $(N_r) \Rightarrow \chi_I(G) \sim g(r) \log_r d$

$$f(2) = 1/2 \quad f(3) = 1/9 \quad f(4) = 0.0262\dots$$

Recall $g(r) = -1/\log_r f(r)$

$$g(2) = 1 \quad g(3) = 1/2 \quad g(4) = 0.3807\dots$$

How the numbers stack up

(Saxton+T 12,14) \forall simple d -regular G , $\chi_I(G) \gtrsim \frac{1}{(r-1)} \log_r d$

Méroueh+T \forall r -partite G , (D_r) & $(N_r) \Rightarrow \chi_I(G) \sim g(r) \log_r d$

$$f(2) = 1/2 \quad f(3) = 1/9 \quad f(4) = 0.0262\dots$$

Recall $g(r) = -1/\log_r f(r)$

$$g(2) = 1 \quad g(3) = 1/2 \quad g(4) = 0.3807\dots$$

This shows the container argument is tight for $r = 2$ and $r = 3$
but (probably) not for $r \geq 4$

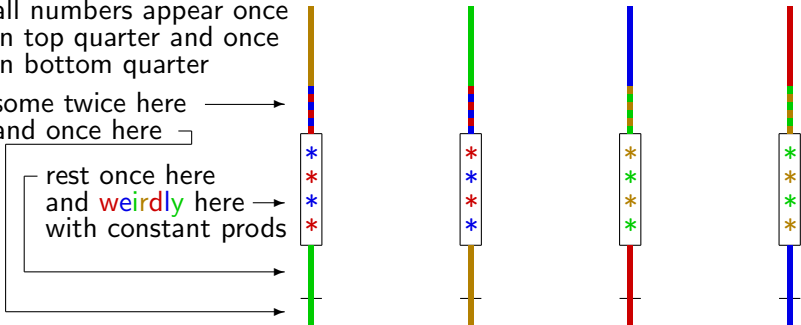
So in fact how big is $f(r)$?

$$\frac{1}{38.13} < f(4) < \frac{1}{38.12}$$

all numbers appear once
in top quarter and once
in bottom quarter

some twice here →
and once here □

rest once here
and weirdly here →
with constant prods



And $\left(\frac{r-1}{re}\right)^{r-1} \leq f(r) \leq \frac{(r-1)!}{r^{r-1}}$ so $g(r) \sim \frac{\log r}{r}$ c.f. container $\frac{1}{r-1}$

Thanks for your attention . . .